

## INVESTMENT RECOMMENDATION FORM

Kern Community Foundation's investment program offers the flexibility to customize your fund's investments to meet your charitable goals.

There are two ways to participate:

### OPTION 1: HAVE YOUR TRUSTED FINANCIAL ADVISOR MANAGE YOUR FUND

With this option you can benefit from custom investment management by an advisor you already know and trust.



If this option is right for you, simply put the Community Foundation in touch with your financial advisor and our team will take care of the rest.

### OPTION 2: SELECT YOUR OWN MIX USING ONE OR MORE OF THE COMMUNITY FOUNDATION'S INVESTMENT POOLS

The Community Foundation's investment pools allow you to match your investment strategy with your risk tolerance and long-term objectives for your fund. The pools are organized according to asset class and include the following: Money Market, Short-Term Fixed Income, Intermediate-Term Fixed Income and Equity.

You may change your investment mix as often as you like. Mix changes submitted during the month will be implemented at the beginning of the following month.

The responsibility for managing the Community Foundation's investment program is vested in the Foundation's Board of Directors through its affiliation with Greater Horizons and Greater Kansas City Community Foundation. The Community Foundation works closely with Greater Horizons and Greater Kansas City Community Foundation to set policy, establish performance benchmarks and monitor performance. The percentages in the mix options may change from time to time.

# OPTION 1: WORKING WITH YOUR FINANCIAL ADVISOR

Yes, I want my fund advised by the financial advisor listed below.

I (we), the undersigned, would like to establish a segregated fund that is managed by:

Financial Advisor's Name:

Firm Name:

Address:

Phone:

City:

State: Zip Code:

Email:

Online Viewing Access

My (our) financial advisor will participate in the Community Foundation's Financial Advisor Program until such time that the Community Foundation or I (we) exercise the option to make a different selection for management of this fund. As a participant in the Financial Advisor Program, I (we) understand that my (our) elected financial advisor will be responsible for the management of the assets in this fund, and he/she will do so in accordance with the most current financial policies and standards of the Community Foundation.

As required by IRS regulation,  
I (we) acknowledge and agree that:

- a) the above recommendation is advisory only and the Community Foundation may, at its sole and absolute discretion, follow or decline to follow my (our) recommendation;
- b) the Community Foundation may at any time, at its sole discretion, change the investment of all or any portion of the assets in the fund;
- c) if my (our) recommendation is accepted, the investments will be administered in accordance with the financial policies and fiduciary standards of the Community Foundation; and
- d) investments are subject to normal market and interest rate fluctuation risks, and any gain or loss generated by the above investments will be credited or charged to the fund.

Your Name

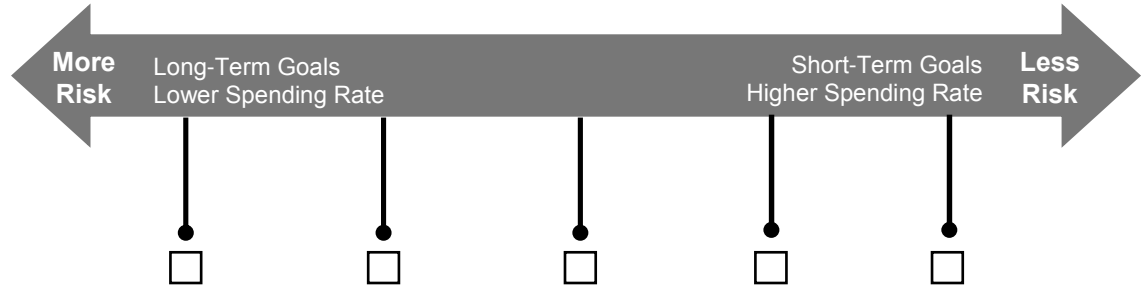
Your Fund's Name

Signature

Date

# OPTION 2: INVESTMENT POOLS

To invest in the Community Foundation's investment pools, please select one investment mix from the options below, or create your own mix in the space provided. Asset allocation mixes offer a simple, one stop approach to an investment strategy that best reflects your investment philosophy and charitable goals.



Investment Pool	Aggressive	Moderately Aggressive	Moderate	Conservative	Risk Averse
Money Market	0%	5%	15%	25%	100%
Short-Term Fixed Income	10%	21%	27%	33%	0%
Intermediate-Term Fixed Income	10%	14%	18%	22%	0%
Equity	80%	60%	40%	20%	0%

### Create Your Own Investment Mix

Money Market Pool \_\_\_\_\_%

Short-Term Fixed Income Pool \_\_\_\_\_%

Intermediate-Term Fixed Income Pool \_\_\_\_\_%

Equity Pool \_\_\_\_\_%

Combined Total Must Equal 100% \_\_\_\_\_%

As required by IRS regulation, I (we) acknowledge and agree that:

- a) the above recommendation is advisory only and the Community Foundation may, at its sole and absolute discretion, follow or decline to follow my (our) recommendation;
- b) the Community Foundation may at any time, at its sole discretion, change the investment of all or any portion of the assets in the fund;
- c) if my (our) recommendation is accepted, the investments will be administered in accordance with the financial policies and fiduciary standards of the Community Foundation; and
- d) investments are subject to normal market and interest rate fluctuation risks, and any gain or loss generated by the above investments will be credited or charged to the fund.

Your Name

Your Fund's Name

Signature

Date